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Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/776,475
				Filing Date	2/10/2004
				First Named Inventor	Gunn III, et al
				Art Unit	Not Yet Assigned
				Examiner Name	"
Sheet	2	of	2	Attorney Docket Number	LUX - P019

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
DGP	1	"LOW LOSS NANOPHOTONIC WAVEGUIDES AND RING RESONATORS IN SILICON-ON-INSULATOR"; BEATS, ET AL May 2004	
DGP	2	"LARGE-SCALE PRODUCTION TECHNIQUES FOR PHOTONIC NANOSTRUCTURES"; BOGAERTS, ET AL August 2003	
DGP	3	"CMOS-COMPATIBLE PLANAR SILICON WAVEGUIDE-GRATING-COUPLER PHOTODETECTORS FABRICATED ON SILICON-ON-INSULATOR (SOI) SUBSTRATES"; S.M. CSUTAK, S. DAKSHINA-MURTHY & May 2002	
DGP		JOE C. CAMPBELL; IEEE JOURNAL OF QUANTUM ELECTRONICS, VOL. 38 NO. 5 MAY 2002	
DGP	4.	"BURIED-OXIDE SILICON-ON-INSULATOR STRUCTURES II: WAVEGUIDE GRATING COUPLERS"; EMMONS, ET AL; IEEE JOURNAL OF QUANTUM ELECTRONICS, VOL. 28 NO. 1 JANUARY 1992.	
DGP	5	"INTEGRATED OPTICS FOR OPTICAL HEAD APPLICATIONS"; OCHIAI ET AL; ELECTRONICS LETTERS 18TH AUGUST 1994 VOL. 30 NO. 17, PAGE 1403-1404	
DGP	6	"EFFECTS OF GRATING HEIGHTS ON HIGHLY EFFICIENT UNIBOND SOI WAVEGUIDE GRATING COUPLERS"; ANG, ET AL; IEEE PHOTONICS TECHNOLOGY LETTERS VOL. 12 NO. 1 JAN 2000	
DGP	7	"MODE MATCHING TECHNIQUE FOR HIGHLY EFFICIENT COUPLING BETWEEN DIELECTRIC WAVEGUIDES AND PLANAR PHOTONIC CRYSTAL CIRCUITS"; SANCHIS, ET AL December 2002	
DGP	8	"BURIED-OXIDE SILICON-ON-INSULATOR STRUCTURES II: WAVEGUIDE GRATING COUPLERS"; EMMONS, ET AL; IEEE JOURNAL OF QUANTUM ELECTRONICS, VOL. 28 NO. 1 JANUARY 1992	
DGP	9	"NON UNIFORM GRATING COUPLERS FOR COUPLING OF GAUSSIAN BEAMS TO COMPACT WAVEGUIDES"; WEST, ET AL; PUBLISHED IN THE INTEGRATED PHOTONICS RESEARCH TECHNICAL DIGEST 1994	

DGP 11/14/06
DGP 11/16/06
DGP 11/16/06

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Examiner Signature	Daniel Pithonakis	Date Considered	11/16/05
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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